

1905-1918 ff.

BENEFITS (TIAA)

Academic Personnel

✓ CARNEGIE FOUNDATION FOR THE  
ADVANCEMENT OF TEACHING

Foundations

PRITCHETT, HENRY S.

Biographical

Carnegie founded the Foundation for the Advancement of Teaching about 1905 and endowed it with \$10,000,000, to provide for the old age of professors engaged in higher education.

The letter sent to the Trustees on founding said Carnegie had "reached the conclusion that the least rewarded of all the professions is that of teacher in our higher educational institutions. Very few, indeed, of our colleges are able to [provide pensions] the consequences are grievous. Able men hesitate to adopt teaching as a career, and many old professors whose places should be occupied by younger men cannot be retired." (p. 89)

The first plan failed. Carnegie gave it \$5,000,000 more. It was not actuarially sound. It was not a contributory system.

It provided a maximum pension of \$4,000 which by the time Flexner was writing had been reduced to \$1,500. Pritchett who was its manager or president was bitterly criticized. Certain restrictions were difficult of administration:

(1) Did not apply to ~~non~~denominational colleges or universities nor to state colleges or universities.

(2) In determining what were colleges and universities arbitrary measurements "Carnegie Unit" was set up providing that four years of high school was uniform requirement.

Conflicts in administration: Princeton was loosely spoken of as Presbyterian and Yale as Congregational, Chicago University as Baptist (founded by Rockefeller).

Princeton was not denominational by the time the Carnegie Foundation was established. (P. 96) ~~Harvard~~  
Neither Harvard nor Yale was nor the University of Chicago. State universities had not made provisions for pensions. Colleges and universities were growing rapidly. By 1909 it

was apparent that the work could not be done even in accord with the relaxed standards which the dropping of the denominational and state college proscriptions made possible. The Carnegie Corporation contributed something over \$12,000,000 more.

In 1918 the TIAA, a legal reserve life insurance company was incorporated, made possible through a grant in 1917 by Carnegie Corporation of New York of \$1,000,000 for capital and surplus with subsequent large additional gifts--provided for a contributory system and contracts for individual professors at economical rates. In addition to retirement annuities several forms of life insurance at reduced rate were procurable.

The impossibility of the administration of the denominational prohibition which evidently did obtain in the case of the incorporated TIAA. Chicago University while founded ~~xxxx~~ by Mr. Rockefeller as largely and statutorily Baptist,

~~xxxxxxxxxxxxxxxx~~

was no longer so. "Indeed the University of Chicago had from the very first been conceived by President Harper as an institution of learning and the Faculty contained Jews and Christians of many denominations." (P. 97) ~~THEY~~  
The ban was removed from state universities 1908.

Flexner, Abraham, Henry S. Pritchett, a biography, 1943,  
Columbia University Press

1907

✓ CARNEGIE FOUNDATION FOR THE ADVANCEMENT  
OF TEACHING

Foundations

FLEXNER, A.

Biographical

PRITCHETT

Account makes plain that through A. M. A. <sup>thru?</sup> through a Council on Medical Education was conducting from 1902 a survey of medical schools. In 1907 the Council framed a score card of 10 topics and made a personal survey of some of the 155 medical schools of U. S. and Canada of which only 5 required 2 years or more of college work for admission. Invited Pritchett to see the exhibit. Here he seized the ball and insisting to his board that this was a problem in education. Got the Bulletin #4 survey made. Later he gave fulsome praise to a distressed and recalcitrant A. M. A. (pp. 107-8), though later as Pritchett lectured on the report he got many converts. Pritchett looked to concept that work be done by a layman for laymen.

A. F., Henry S. Pritchett

1932

10/11

GENERAL

✓ CARNEGIE INSTITUTION

MERRIAM, JOHN C.

FLEXNER, A.

BAMBERGER, LOUIS

Public Relations

Foundations

Biographical

Flexner to Bamberger, October 11, 1932.

"Of course I have been overwhelmed with telephone messages of congratulation this morning. I shall not trouble you with them in detail, but one significant thing happened. Dr. John C. Merriam, Director of the Carnegie Institution of Washington, called me up to say that the Carnegie Institution would regard it as a privilege if they could coöperate with us in developing the work of Professor Einstein and Professor Veblen in any way possible. I imagine that this is just about the first time in the history of the Carnegie Institution when the Institution has taken the initiative."

D, Bamberger, Louis, 1930-1936

1934

4/23

SCHOOL OF HUMANISTIC STUDIES

LOWE, E.A.

FLEXNER, ABRAHAM

CARNEGIE INSTITUTION

Academic Organization

Biographical

Foundations

E.A. Lowe (Oxford) to Dr. Flexner:

Dr. Lowe says he has written to the Carnegie Institution to see if they would have any objection to his accepting a post in America similar to the one which he holds in England. His work in European libraries is not finished but his health suffers and he must leave Europe. "It took a good deal of diplomacy to win Dr. H. Merriam over to give me leave to assume the editorship of GLA."

"While in Paris during the Easter vacation I saw E.K. Rand, with whom, as you know, I am on intimate terms. We had a confidential talk about my plans for the future and we dismissed Harvard, first because Rand himself teaches palaeography there, and secondly for financial reasons, Harvard having just offered Köhler a twelve-thousand dollar professorship and an extra two thousand for déménagement (but for lack of funds Harvard would take Köhler's brother, the Gestaltpsycholog as well). The other possibility which occurred to you - Princeton - also occurred at once to Rand, who said that there had always been a friendly rivalry between the two universities as well as close cooperation, and now that Harvard had made such an acquisition in Köhler, Princeton might be moved



to strengthen its medieval and art departments by calling "a man of European standing" (I am quoting Rand). He had the feeling that if Princeton wanted me they would give me nothing less than a full professorship and would consider it infrà dig. to share me with Carnegie Institution. Be all this as it may, the way is now open for you to use any kind offices you may find it in your heart to employ in my poor behalf - and you know I shall be everlastingly grateful. I ought to add that the ideal conditions for me are those under which I should have the minimum of teaching and no administrative duties, with the greatest possible opportunity for research. I'm not asking much!!!"

File: IAS - E.A.Lowe - 1933-43



1934

10/5  
12/4

✓CARNEGIE INSTITUTE

Foundations

ROCKEFELLER FOUNDATION

AMERICAN COUNCIL OF LEARNED SOCIETIES

See SCHOOL OF HUMANISTIC STUDIES, Academic Organization  
Letters from Gilbert and Merriam to Flexner

File III-21

12/14  
12/16

✓ CARNEGIE INSTITUTE

Foundations

TRUSTEES

Corporation

WEED

Biographical

FLEXNER, A.

Weed to Flexner, December 14, 1935.

Weed informs Flexner he has been elected as a Trustee of the Carnegie Institution of Washington. "I am of course eager to accept but I do not care to do so unless I receive assurances that service on the Carnegie Board will not affect my relationship to the Institute for Advanced Study, in which I am fundamentally interested. I am therefore writing to ask if you, as Director, can give me this assurance."

Flexner to Weed, December 16, 1935.

"It was extremely courteous of you...I should like you to accept, not particularly on account of the Institute, because of course as a Trustee of the Carnegie Institution you will have to be absolutely impartial, but because you are one of the few scientists who are keen to develop humanistic studies and interests. I hope that our Institute and the Carnegie Institution will cooperate, but quite aside from that the

scientific interest in humanistic studies can exercise a most wholesome influence. In any event, there is no conflict between positions on the two Boards."

D File, Weed, Lewis H., 1930-1940

1936

1/17  
1/24  
6/6

✓ CARNEGIE INSTITUTION

Foundations

ROCKEFELLER FOUNDATION

AMERICAN COUNCIL OF LEARNED SOCIETIES

See SCHOOL OF HUMANISTIC STUDIES, Academic Organization  
Letters to and from Flexner and Merriam

File III-21

1936

2/3/36  
4/15/36

LOWE, E. A.

Biographical

✓ CARNEGIE INSTITUTION

Foundations

Lowe's letter to Leland (ACLA), 2/3/36 defends Flexner's invitation to Lowe to join IAS; says Flexner spoke with Merriam and received assurances. Also defends industry with which he has worked on C. L. A. Predicts possible completion of C. L. A. in 10 years. Leland apparently had administration of Rockefeller grant to Clarendon Press for Lowe's work.

Lowe to Flexner, 4/15/36. Says that Merriam (Carnegie) is apparently willing to drop C. L. A. and "win 4500 p. a. for something else." But Merriam has said the relationship between the two institutions and Lowe shall stand as they are until Lowe comes over. Lowe says to A. F., "I am not going to give this matter another thought because I know that if worse comes to worst I ~~amxxxxxxx~~ can count on you not to let C. L. A. fall between two stools."

D File, Lowe, E. A., 1933-43

1936

2/13

LOWE, E.A.  
FLEXNER, Abraham  
✓ CARNEGIE INSTITUTION

Biographical  
Foundations

Dr. Flexner to Dr. Lowe:

"There is nothing in Leland's letter regarding Merriam that need give you any concern. These foundations never pledge themselves except for a period of years, usually three and never more than five. Merriam was genuinely interested in your work and genuinely desirous of retaining your relationship to Carnegie. If anything now unforeseen should happen which makes this impossible, the sum involved is not enough to disturb you or me; so don't worry about the future. The institute finances have been so caputiously managed that it would take a good deal of disturbance to cause anybody any inconvenience, though, to be sure, a gift such as Merriam has been making is always welcome. In your place, however, I would make no further allusion to it in writing anyone, but if Merriam should write you you would naturally express your appreciation and your hope that the relationship which has been so useful in this great undertaking may be continued.

"As far as Leland's urging you to go fast is concerned, I don't think that you need any prodding. The whole Institute is organized on the theory that we invite to professorships only first-rate men and that we trust them absolutely. It makes no

difference to me, for example, that Veblen every now and then takes a morning off to chop trees or that Panofsky occasionally sleeps late. If people of your calibre and ambition cannot be allowed to solve their own problems in their own way, I am very certain that nothing I can do would be of any service."

File: IAS - E.A.Lowe - 1933-43



1936

3/13

SCHOOL OF HUMANISTIC STUDIES

Academic Organization

✓ CARNEGIE INSTITUTION

Foundations

LOWE, E. A.

Biographical

Leland to Lowe: Since he is appointed by IAS he will probably not be allowed to retain fullmembership in the Institution, since a regular member is one who gives his full time to it, and receives compensation and TIAA benefits there for.

A File. Lowe, E. A., 1933-36

1936

3/16

✓CARNEGIE CORPORATION

Foundations

GEST ORIENTAL LIBRARY

Facilities

PLEXNER, A.

Biographical

Flexner to F. P. Keppel suggesting that if Carnegie Corporation couldn't give IAS money to purchase Gest Oriental Library why not give it to McGill? His interest unselfish. He simply does not want Library divided and Gest himself deserves some consideration.

Vault File, GOL Miscellaneous Correspondence, A-L

1936

5/2  
5/5  
9/24  
10/23

1937

SCHOOL OF MATHEMATICS

Academic Organization

CARNEGIE

Foundations

MORSE, MARSTON

Biographical

FLEXNER, A.

Flexner to Morse, May 2, 1936.

Confirms arrangements made at a conference with Veblen and Morse regarding invitation to be extended by the American Mathematical Society to the International Mathematical Congress to meet in this country in 1940.

If Carnegie Corporation will appropriate appropriate \$7,500, he may succeed in getting Rockefeller to match it. If this fails, the Institute for Advanced Study will set it aside from the mathematical budget, "and hold until it is needed an equivalent sum."

Morse to Flexner May 5, 1936.

The Carnegie Corporation through Mr. Keppel has given informal assurances of \$7500.

Morse to Flexner, September 24, 1936.

The Mathematical Congress at Oslo voted to accept the invitation of the American Mathematical Society to hold an International Mathematical Congress in America in 1940.

Flexner on October 23, 1937, gives positive assurances that \$2500 will be forthcoming from the Institute as an outright gift to the Society.

D File, Morse, Marston, 1933-1945

1937

1/22

✓ CARNEGIE CORPORATION

PRINCETON UNIVERSITY

FLEXNER, A.

KEPPEL, F. P.

~~FOUNDATIONS~~  
~~Academic Organization~~

Relations WOAI

Biographical

Flexner to Keppel, January 22, 1937.

His letter is general, presumably responsive to Keppel's of December 7, but asking quite spontaneously and suddenly for something which had not been foreseen at the time he had written originally for the \$25,000 for three years; namely, \$10,000 for Professor Wade-Gery of Oxford to come to Princeton during the next academic year. In a sense this seems to cut the ground out from under the request which he made. He assures Keppel that this is acceptable to Dodds.

D File, Carnegie Corporation, 1932-1939

1937

1/25

✓ CARNEGIE FOUNDATION

Foundations

AMERICAN PHILOLOGICAL ASSOCIATION

SCHOOL OF HUMANISTIC STUDIES

Academic Organization

POLICIES

Administration

LOWE, E. A.

Biographical

MERITT, B. D.

The Director says Carnegie Institution has expressed desire to contribute annually to Lowe's work, and the American Philological Association toward Meritt's work, but we must to some extent rely upon our own efforts. ~~There is no action~~ He suggests taking action, the total involved on salaries annually is \$9,000 for the balance of the year \$4,500. The Board raised the salaries of Riefler and Meritt to \$15,000 effective February 1, 1937. ~~Kahn~~

"Rigid adherence to the full-time plan was unanimously endorsed."  
Trustees' Minutes, 1/2/ 37, p. 9

1937

10/6  
10/21

SCHOOL OF MATHEMATICS

Academic Organization

✓ CARNEGIE

Foundations

ROCKEFELLER

MORSE, MARSTON

Biographical

Two letters regarding foundations, 1937, 10/6 and 10/21

Filed in Chronological File under 1937, 10/6.

D File, Morse, Marston, 1933-45



1938

SCHOOL OF HUMANISTIC STUDIES

Academic Organization

✓ CARNEGIE FOUNDATION

Foundations

ROCKEFELLER

CLEMENT, PAUL

Biographical

DAVIDSON, ADELAIDE

Flexner to Keppel. Asks for \$1,500 grant for Paul Clement or Davidson. Keppel gives it for Clement; Corporation doesn't give to degree candidates. Flexner to asks Stevens for other. (Rockefeller Foundation).

D, Carnegie Corporation

1938

2/2

✓ CARNEGIE CORPORATION

Foundations

ROCKEFELLER

Flexner to Bamberger, February 2, 1938.

The Carnegie Corporation has made an appropriation of \$8000 for next year for the support of certain advanced workers in humanistic studies, and it is likely that Rockefeller will do likewise. He considers this very significant.

D, Bamberger, Louis, 1937-1944

1936

3/19

INSTITUTE

Publications

✓ CARNEGIE CORPORATION

Foundations

A. C. L. S.

HERZFELD

MERRITT

See Chronological note III-12 for plea for publication  
Meritt & Herzfeld.

III-12

1938

3/21

MEMBERS (STAFF)

✓ CARNEGIE

MERITT

Publications

~~Corporation~~ Foundations

Biographical

See Chronological File.

D, Carnegie Corporation

1938

3/21

✓ CARNEGIE CORPORATION

SCHOOL OF HUMANISTIC STUDIES

INSTITUTE

MERRITT, B. D.

Foundations

Academic Organization

Publications

Biographical

Flexner has introduced Meritt to F. A. Keppel. Meritt acknowledges (March 21, 1938) grant of \$3000 from Carnegie Corporation (Keppel) to assist with publication of 1 volume of Athenian Tribute List.

D, Carnegie Corporation, 1932-39

1938

4/18

BUDGET

Finance

SCHOOL OF ECONOMICS AND POLITICS

Academic Organization

/ CARNEGIE

Foundations

Flexner's report on School of Economics and Politics, (p. 5-8)

Frank facing of cost of two or three additional men in economics at cost of \$50,000 or \$60,000. Lord Haffield's gift to Haffield College at Oxford for economics and social sciences. Aydelotte and Riefler supported. Stewart absent. Board approved it and authorized exploration by Director. Foundations then helping by \$2,750 (1938-9)

Trustees' Minutes, 4/18/38

1938

4/22

SCHOOL OF ECONOMICS AND POLITICS

Academic Organization

✓ CARNEGIE CORPORATION

Foundations

EARLE, E. M.

Biographical

Flexner to Keppel.

Acknowledging Keppel's offer made to Earle in a meeting of \$750 for trip to Europe and if IAS will split with Carnegie Corporation difference in cost for a reasonably comfortable trip. Flexner responds happily and praises Earle to Keppel. They split--\$375 from each.

D, Carnegie Corporation, 1932-39



1938

4/29

✓ CARNEGIE CORPORATION

SCHOOL OF HUMANISTIC STUDIES

SCHOOL OF ECONOMICS AND POLITICS

FOUNDATIONS

ACADEMIC ORGANIZATION

Flexner to Keppel.

Carnegie Corporation has made \$8,000 available for stipends  
accounted for as follows:

Lee	\$1750
Robb	2500
Robinson	1250
Webster	<u>1750</u>
	\$7250
Earle	<u>750</u>
	\$8000

Keppel May 4 sent money.

D, Carnegie Corporation, 1932-39

1938

8/7  
8/12

EMIGRES

Academic Personnel

✓ CARNEGIE CORPORATION

Foundations

ROCKEFELLER

A. C. L. S.

OBERLAENDER TRUST

Flexner to Keppel regarding Windelband. General conference  
of above for a policy on emigres suggested.

D, Carnegie Corporation, 1932-39

1939-40

✓ CARNEGIE CORPORATION

SCHOOL OF HUMANISTIC STUDIES

MEMBERS

STIPENDS

Foundations

Academic Organization

Academic Personnel

List of Stipendiates for the School of Humanistic Studies,  
1939-40, and names recommended by Panofsky and Morey, 1940-41.

Filed in Chronological File under 1939-40.

D, School of Humanistic Studies

1939

NOTES

2/9

SCHOOL OF MATHEMATICS

Academic Organization

GENERAL

Publications

NEUGEBAUER, OTTO

Biographical

FLEXNER, A.

VEBLEN, O.

✓ CARNEGIE INSTITUTE

Foundations

ROCKEFELLER

SEE Chronological File, 1939, 2/9, for references to the Carnegie Institute and Rockefeller Foundation regarding the coming of Neugebauer to the United States, Brown University, and the publication of the mathematical journal.

V File, Flexner, Abraham

1939

April  
May

CARNEGIE CORPORATION

SCHOOL OF HUMANISTIC STUDIES

PUBLICATIONS GENERAL

Foundations

Academic Organization

Publications

Flexner asks for grant for Breasted; also aid for transfer of Hesperia printing to U. S. A. Former granted (with others not in file evidently). Latter denied.

D, Carnegie Corporation, 1932-39

1939

12/1

**SALARIES**

**Academic Personnel**

✓ **CARNEGIE INSTITUTION**

**Foundations**

**LOWE, E. A.**

**Biographical**

**FLEXNER, A.**

**AYDELOTTE, P.**

**Aydelotte to Flexner.**

The Carnegie Institution has never had Lowe as a regular member of its staff, and for the first few years while Lowe was at the Institute, his stipend paid as a part of his IAS salary was \$4500 and then \$4000. Now it is being reduced to \$1000 for 1940 calendar year, and at the end of that year, it will probably cease altogether. Carnegie is running a deficit and must curtail its expenses.

Aydelotte asks Flexner what preparation he had made for this contingency, if any: "...had you contemplated replacing it by raising Professor Lowe's salary from \$10,000 to \$15,000. Is that what we ought to do?"  
D File, Flexner, Abraham

1940-41

INSTITUTE

PAKOWSKY, E.

DE TOLNAY

✓CARNEGIE

Publications

Biographical

Foundations

Excerpts from File IX-6 re publication of Pakowsky's and  
de Tolnay's books.

See INSTITUTE - Publications



1940-1942

✓ CARNEGIE CORPORATION

GENERAL

PRINCETON (PRESS)

DE TOLNAY

PANOFSKY

Foundations

Publications

Relations WOAI

Biographical

Correspondence with David Goodchild, Secretary for  
Grants-in-Aids of American Counsel of Learned Societies,  
for the costs of printing Panofsky's Albrecht Dürer, and  
De Tolnay's book.

File II-6

1940-1955

✓ CARNEGIE

Foundations

GRANTS-IN-AID

For a list of grants for specific individuals and projects  
given by the Carnegie Corporation for the above years see  
Vertical File under "C" for Carnegie Corporation.

1940

1/15

✓ CARNEGIE CORPORATION

Foundations

DE TOLNAY

Biographical

PANOFSKY, ERWIN

AYDELOTTE, F.

Aydelotte to Panofsky, January 15, 1940.

Acknowledges a letter of January 12 which is not in the file. In it Panofsky says something about de Tolnay. Aydelotte says he is going over the whole business with the chairman of the budget committee day after ~~tomorrow~~ tomorrow, and wants to talk with Panofsky about the question of application to the Carnegie Corporation for support of some of these candidates. My understanding is that we have received substantial help from them each year, and I hope that this will continue.

A IAS (T)

1940

2/3

✓  
CARNEGIE

Foundations

SCHOOL OF ECONOMICS AND POLITICS

Academic Organization

LESTER, ROBERT M.

Robert M. Lester, Secretary, Carnegie Corporation to  
Aydelotte, February 3, 1940.

          Informs him of the appropriation by Carnegie Board of  
~~\$6,500~~ \$6,500 to the Institute for support of a study of  
military and foreign policies of the United States under the  
direction of of Professor Edward M. Earle. The items  
covered were: stipend for Dr. Alfred Vagts, \$4,000; research  
assistance, \$1,000; secretarial assistance, \$500;  
travel, \$500; and books \$500.

D File, Carnegie Corporation, 1940-1947

1940

2/23

✓ CARNEGIE CORPORATION  
*HUMANISTIC STUDIES*  
SCHOOL OF ~~ECONOMICS AND POLITICS~~  
AYDELOTTE, F.

Foundations  
Academic Organization  
Biographical

Aydelotte to Lester, Secretary, Carnegie Corporation  
of New York, February 23, 1940.

Voluntarily and spontaneously transmits a report  
for work which Carnegie Corporation is subsidizing, by  
Breasted, de Tolnay, Gordon, Hoey, Weinberg. See File for  
the reports.

D File, Carnegie Corporation, 1940-1947

1940

3/19

~~CARNEGIE~~  
~~ROCKEFELLER~~

Foundations

LOWE, E. A.

Biographical

FLEXNER, A.

AYDELOTTE, F.

FRIEDENWALD

Flexner to Aydelotte, March 19, 1940.

He has spent so much of his voice talking with Bush, that he has had to be quiet all day, and, therefore, could not phone Aydelotte. This whole file reflects ill health of Flexner. A good part of the time he is not able to talk, he is in the hospital part of the time for a period of two or three years.

Flexner says he thinks he opened Bush's eyes on the Lowe question and I believe if he does not change his attitude that he will do better than the offer you outlined to me.

Flexner proceeds to say he feels so uncomfortable that he can't discuss it. He did, however, see Friedenwald, for in

addition to laryngitis he had a digestive upset. ~~HEXKHE~~  
Friedenwald was Chairman of the Nominating Committee, and asked  
Flexner ~~to~~ for suggestions, "but I refused to make any. I told  
him that I would communicate with you and I felt sure you would  
attend to the matter."

D File, Flexner, Abraham

1940

~~3/11~~

4/12

~~5/8~~ 5/6

✓ CARNEGIE CORPORATION

Foundations

AYDELOTTE, F.

Biographical

Aydelotte to Dollard of Carnegie Corporation of New York,  
April 12, 1940.

Asks for renewal of grants to de Tolnay and Allan S. Hoey,  
and in addition, grants for Nordenfalk, Ward, Frankel, Capps,  
and Bernheimer; all humanists.

Dollard informed Aydelotte on May 6 the Corporation  
granted \$7700, amounts asked for Bernheimer, Capps, Hoey, and  
de Tolnay.



1940

4/12

BUDGET

Finance

ROCKEFELLER

Foundations

✓ CARNEGIE CORPORATION

Aydelotte to Weed, April 12, 1940.

He submits tentative draft of the budget--his tenth attempt having one merit--it balances.

"You can understand the points of difficulty if you keep these facts in mind: the Rockefeller gift requires that we should add \$40,000 of new money to the School of Economics and Politics which with \$6,500 from the Carnegie Corporation for some research of Earle's raises the total budget of that school from \$80,000 to \$127,000.

"For the rest we have absorbed last year's deficit by making slight reductions in the Schools of Mathematics and Humanistic Studies and by making drastic cuts in the appropriation for equipment and supplies and in the contingent fund. These cuts are not wise, but they seem to be necessary."

D File, Lewis H. Weed

1940

10/14

✓ CARNEGIE CORPORATION

Foundations

LIBRARY

Facilities

Carnegie Corp. provided assistance to librarian in setting up library

Tr. Min. - 10/14/40 - Appendix - p. 2

10/25

✓ CARNEGIE CORPORATION

Foundations

EARLE, E. M.

Biographical

AYDELOTTE, F.

Aydelotte to Keppel, October 25, 1940.

Asks for \$10,000 for the continuation of Earle's study of military policy, being produced by the seminar method.

D File, Carnegie Corporation, 1940-1947

11/18

CARNEGIE CORPORATION

Foundations

LAUTERBACH, ALBERT

Biographical

BRODIE, BERNARD

Dollard to Aydelotte, November 18, 1940.

The Corporation has appropriated \$1,000 and \$1,800  
for Lauterbach and Brodie respectively in Earle's seminar.

Committee also approved a grant for Herbert Rosinski;  
also participating in the seminar.

1941

February

✓ CARNEGIE CORPORATION

Foundations

Corporation

EARLE, EDWARD

Biographical

Carnegie endowment gave Earle \$1200 in February, 1941, for expenses for a proposed trip to the Caribbean. At the same time the foundation is considering a memorandum from Earle for a supplementary grant of \$18,000 for further studies on American foreign policy at the Institute.

E  
E File - Institute - Pre-1942 General Correspondence

✓ CARNEGIE CORPORATION

Foundations

LESTER, ROBERT M.

Biographical

In 1941 the Foundation appropriated \$18,000 for the  
Earle work. (Lester to Aydelotte, February 13, 1941, *ibid.*)

D File, Carnegie Corporation, 1940-1947

1941

3/29  
4/16

✓ CARNEGIE CORPORATION

Foundations

SCHOOL OF HUMANISTIC STUDIES

Academic Organization

AYDELOTTE, F.

Biographical

Aydelotte to Dollard, March 29, 1941.

Asks for a new appropriation of \$7700 for de Tolnay, Frankl, Heckscher, and Lawrence which was refused on April 8, 1941. This was because of hard times, and was without prejudice to renewal of the request later on.

Aydelotte renewed the request with regard to Dr. Marion Lawrence and Dr. William S. Heckscher.

Dollard replied April 16, that the Institute appropriated \$1,200 for either Heckscher or Marion Lawrence.

D File, Carnegie Corporation, 1940-1947

1941

5/29  
4/17

CARNEGIE CORPORATION

Foundations

SCHOOL OF HUMANISTIC STUDIES

Academic Organization

AYDELOTTE, F.

Biographical

Aydelotte to Lester, May 29, 1941.

Transmits reports of the work done during the last academic year by 9 recipients of grants from the Carnegie Corporation.

On April 17, 1941, the Carnegie Corporation appropriated \$3,000 for studies by DeWitt C. Poole.

D File, Carnegie Corporation, 1940-1947



1941

July - November

FACILITIES

CARNEGIE ENDOWMENT FOR INTERNATIONAL PEACE

Library

Foundations

Carnegie Endowment for ~~XXXXX~~ International Peace furnished the Institute with 107 volumes of the endowments publications for the Institute library, and in November Professor Earle requested the continuation of the free service which he guaranteed would be put to good use.

Earle to George A. Finch, Assistant Secretary, Carnegie Endowment for International Peace, December 23, 1940. He outlines the difficulties which the School of Economics and Politics is in in asking the using of the facilities of the Princeton Library. Not only the distance, 2 miles, but the fact that frequently Institute members can not obtain books because they are reserved for under-graduate use. This is particularly true during the spring term when the undergraduates are engaged in writing theses, and are given priority over members of the faculty. Here is an international law in economics, politics, etc. are those which Earle published in his request for free publications. He also lists the question as to whether or not it might not be possible for the Institute to have free the publications of the Harvard research in international law.

E Institute (Pre 1942 General Correspondence)

1941

8/21

9/4

CARNEGIE CORPORATION

Foundations

DOLLARD, CHARLES

Biographical

AYDELOTTE, F.

Dollard to Aydelotte, August 21, 1941.

He asks for space and facilities at the Institute in which Gunnar Myrdal with a staff of three or four may finish his study on the Negroe in America sometime during 1942.

Aydelotte, on September 4, 1941, has to say that because of the size of Earle's committee and the Economic Section of the League, all housed in the Institute's building, there is no available space.

D File, Carnegie Corporation, 1940-1947

1945

5/4

✓ CARNEGIE FOUNDATION

Foundations

EARLE, E. M.

Biographical

HARTZ

Earle to Aydelotte: "Mrs. Hartz's salary has been paid out of Carnegie funds since 1939." Asks I. A. S. to meet the salary. Later I. A. S. paid 1/2 and Carnegie Corporation paid 1/2. As of August 31 he asked Aydelotte to charge Carnegie Corporation funds with 1/4 and take balance out of his gift of ~~\$1KX~~ \$1,000 to I. A. S.

Earle, I. A. S., 1944-5

1946

1947

5/8  
6/12  
3/21

✓ CARNEGIE CORPORATION

SCHOOL OF ECONOMICS AND POLITICS

EARLE, E. M.

Foundations

Academic Organization

Biographical

Carnegie Corporation granted \$15,000 on June 12 and possible additional \$9,000.

But amended March 21, 1947: \$15,000 on 10/1/47  
\$20,000 on 10/1/48  
\$20,000 on 10/1/49

for seminars on International affairs.

A letter and data on this filed in Chronological file under 5/8/1946 with this memo.

D, Earle, E. M., 1945

1946

5/8

✓ CARNEGIE CORPORATION OF NEW YORK

Foundations

SCHOOL OF HISTORICAL STUDIES

Academic Organization

EARLE, E. M.

Biographical

See Earle's letter of May 8, 1946, for supporting data  
for the request for a grant for international ~~study~~ seminar.

*Edw. Vert. file under "H."*

D File, Earle, Edward M., 1945

3/21

✓ CARNEGIE

Foundations

SCHOOL OF HISTORICAL STUDIES

Academic Organization

EARLE, E. M.

Robert M. Lester, Secretary, Carnegie Corporation of New York, March 21, 1947, to Aydelotte notifying him of the appropriation of \$55,000 payable \$15,000 in 1947-48, \$20,000 in 1948-49, and \$20,000 in 1949-50 to the Institute for Advanced Study for seminars on international affairs, previously aided under allocation made June 12, 1946.

D File, Earle, Edward M., 1945

1947

3/21

✓ CARNEGIE CORPORATION OF

Foundations

SCHOOL OF HISTORICAL STUDIES

Academic Organization

EARLE, E. M.

Biographical

Letter from the Secretary of the Carnegie Corporation of New York to Aydelotte, March 21, 1947, telling of an endowment of \$55,000 has been appropriated to the Institute, for seminars on international affairs.

Filed in Chronological File under 1947, 3/21.

D File, Earle, E. M., 1945

1947

4/1

GENERAL

Publications

ROCKEFELLER FOUNDATION

Foundations

CARNEGIE INSTITUTION

After a discussion of Codices Latini Antiquiores on which Prof. Lowe was working and the need for additional funds if the 10 volumes are to be completed, it was moved, seconded and carried, that the original grant be extended for two years at the rate of \$4,000 a year. The Director was to approach the Carnegie Institution to see if they would share in the support of publication. the Rockefeller Foundation had given a grant to the Oxford Univ. Press for the printing of this work.

Brd. Mtg. 4/1/47 pp. 1-2



1947

4/10  
5/26

✓ CARNEGIE INSTITUTE

PALEOGRAPHY

LOWE, E. A.

Foundations

Academic Activities

Biographical

Aydelotte to V. Max Bush, 4/10. Lowe needs  
\$10,000 more beyond I. A. S. contributes to finish C. L. A.  
Get it 5/26.

D, Lowe

1947  
PALEOGRAPHY (MEDIEVAL)

CARNEGIE INSTITUTION

LOWE, E. A.

5/23  
Academic Activities

~~Institute~~ Foundations

Biographical

V. Bush to Aydelotte, May 23, 1947 regarding aid by Carnegie  
Institution to Lowe.

Filed in Chronological File under 1947, 5/23.

D File, Lowe, E. A.

1947

10/9

## GIFTS

## Finance

## ✓ CARNEGIE INSTITUTION

## Foundations

ON motion, seconded and carried, Board authorized Director to send Carnegie Institution of Washington an expression of gratitude and thanks for generous gift of \$10,000 in support of Prof. E. A. Lowe's Codices Latini Antiquiores.

Tr. Min. 10/9/47 p. 6

1947

10/9

GENERAL

Facilities

GEST ORIENTAL LIBRARY

GENERAL

Publications

CARNEGIE

Foundations

BENEFITS

Academic Personnel

SIEGEL

Biographical

VEBLEN, O.

Letter from Aydelette to Oppenheimer, October 9, 1947, regarding  
above listed items.

Filed in Chronological File under 1947, 10/9.

A File, IAS

1948

21 2/10

ROCKEFELLER INSTITUTE IN PRINCETON

Foundations

✓ CARNEGIE INSTITUTE IN WASHINGTON

PRINCETON UNIVERSITY

Relations WOA1

Mr. Weed reported the abandonment of the Rockefeller Institute in Princeton, and reported on a suggestion that a joint appeal be made by Princeton University, the Carnegie Institution of Washington and the I. A. S. to Mr. Rockefeller for use of the Rockefeller Institute for its present purposes of research under a joint sponsorship of the three institutions appealing. It was decided after discussion to explore the matter further.

Executive Committee Meeting Minutes, Feb. 10, 1948

1950

2/27

PALEOGRAPHY, MEDIEVAL)

Academic Activities

Foundations

Relations WOI

Biographical

✓ ROCKEFELLER

CARNEGIE

~~ROCKEFELLER~~

OXFORD

LOWE, E. A.

Lowe to Oppenheimer.

Lowe retired 1944. Since then IAS has been making annual grant of \$4500 to carry on publication of corpus of CLA begun at Oxford, to be 10 volumes. This was aside from his pension. The 5th Volume was published in 1950. Each volume has taken 3 years on average. Next 5 are in advanced stage of preparation and should therefore take less than 3 years per volume.

In 1947 (4/1) Trustees IAS had extended \$4500 grant for 2 years, recognized that Rockefeller Foundation had already subsidized publication by Oxford Press and authorized appeal to Carnegie Institution for grant.

Carnegie Institution of Washington made \$10,000 available to assist Lowe in 1947 (V. Bush to F. A., 5/23/47, Ibid.) See R. O.'s acknowledgment and thanks as one of his first duties "in this queer office." (R. O. to V. B. 10/15/47 / Ibid)

Joseph Lowe, E. A. Lowe's mother, contribution to IAS ~~\$ix~~ \$1,000  
to further CLA (J. L. to F. A. April 1, 1947 Ibid.)

D File, Lowe, E. A.

1950

2/27

PALaeOGRAPHY, MEDIEVAL)

Academic Activities

ROCKEFELLER

Foundations

*CARNEGIE*  
~~ROCKEFELLER~~

OXFORD

Relations WCAI

LOWE, E. A.

Biographical

Lowe to Oppenheimer.

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Joseph Lowe, E. A. Lowe's brother, contribution to IAS ~~for~~ \$1,000  
to further GLA (J. L. to F. A. April 1, 1947 Ibid.)

D File, Lowe, E. A.

1951

2/15

SCHOOL OF ECONOMICS AND POLITICS

Academic Organization

EARLE, E. W.

Biographical

✓ CARNEGIE CORPORATION

Foundations

Earle to Carnegie Corporation with report on the  
seminar on France (at Princeton) supported by Carnegie Corporation  
funds. Held February 1 - 4, 1950.

D, Earle, Conference on Modern France

CARNEGIE FOUNDATION FOR THE ADVANCEMENT  
OF TEACHING

CARNEGIE CORPORATION OF NEW YORK

CARNEGIE INSTITUTION OF WASHINGTON

BENEFITS (PENSIONS)

GILMAN

Foundations

Academic Personnel  
Biographical

PRITCHETT

Gilman was the first President of the Carnegie Institution of Washington. Had retired from Johns Hopkins and was ill. Poor choice. 1901. Retired in 1904, but the Carnegie Institution of Washington is not a foundation in the sense in which the word is used by Flexner. Pritchett as the first president of the Carnegie Foundation for the Advancement of Teaching in 1905 began work on the pension field. Previous to that time only seven colleges and universities had provided pensions for their staffs. (P. 107). Those were modest and available only to certain individuals. But the Foundation soon forced the pension issue on all institutions of higher learning. In the ~~xxx~~ absence of

actuarial knowledge it made a blundering start, but Pritchett learned rapidly. While denominational institutions and tax-supported institutions were excluded<sup>first</sup>, they were later brought into it by a supplementary gift of \$5,000,000. TIAA developed.

From Flexner's account it is apparent that Pritchett's greatest achievement in his judgment was the launching of the investigation resulting in bulletins for six medical education in the United States and Canada and Europe.

It later conducted a study of legal education conducted by Alfred Z. Reed.

*Carnegie Corporation of New York*  
Incorporated in New York in 1911 for "advancement and diffusion of knowledge and understanding among the people of the United States and the British dominions and colonies. Endowment in two parts then totaling \$135,000,000 of which \$10,000,000 was set aside by the benefit of the British dominions and colonies, income to be utilized only ~~xxx~~ by the Trustees.

By 1940 assets at cost \$165,500,000. Carnegie was the first president--for 8 years. (This is the Carnegie Corporation of New York).

Another poor opinion of the policies of the Carnegie Corporation in making grants. Dr. James Rowland Angell elected President in 1920. Evidently did not study his lessons according to Flexner's idea. During seven meetings held shortly after he came to office a total of \$28,000,000 approximately was appropriated, a large part going to Carnegie Institute of Technology. A total of \$8,000,000 to C. I. T. conditions upon \$4,000,000 outside readily raised. On the other hand, the corporation voted other gifts, including over a million to 13 research enterprises and \$21,500,000 to the Carnegie Institute and Carnegie Institute of Technology, and some 40 colleges and universities in the United States and Canada without what Flexner considered careful study. Among these were several unconditional gifts; that is, unmatched. Flexner thought this was an error, and did more harm than good.

The Corporation was in financial difficulties after Angell's administration, and they spent in a manner which

Flexner considered reckless, though some ~~was~~ were justified and worthy. This was during two years under an acting president, 1921-23 when Frederick E. Keppel assumed the presidency. Keppel found the Corporation had pledged future income to the extent of more than \$40,000,000. It had an annual income of \$6,000,000. The problem had to be managed, and yet programs had to be carried on. In 1926 Keppel presented a plan adopted by the Trustees limiting any annual appropriations to \$2,000,000 and taking the rest of its income to meet the obligations to which it was committed. Thus the Corporation had to pass by ~~xxxxxx~~ many great opportunities, and had to be less than generous in those it assisted. Most of the programs were small grants for experiments, demonstrations, projects, etc. The policy was violated partly for the Carnegie Foundation pension load, and went beyond the total of its annual income. By 1937 the Corporation was practically in the clear, and Keppel retired in 1941, leaving the Corporation in good shape.

He initiated two ~~new~~ entirely new fields, fine arts and adult education. Collections of art, libraries, painting,

sculpture, etc.

Funds and Foundations, 1952.

1952

CARNEGIE FOUNDATION FOR THE ADVANCEMENT  
OF TEACHING

Foundations

✓ CARNEGIE CORPORATION OF NEW YORK

CARNEGIE INSTITUTION OF WASHINGTON

BENEFITS (PENSIONS)

Academic Personnel

GILMAN

Biographical

PRITCHETT

For memo on Funds and Foundations, 1952, see memorandum  
of above date.

Funds and Foundations, 1952



CARNEGIE FOUNDATION FOR THE ADVANCEMENT  
OF TEACHING

CARNEGIE CORPORATION OF NEW YORK

Foundations

✓ CARNEGIE INSTITUTION OF WASHINGTON

BENEFITS (Pensions)

GILMAN

Academic Personnel  
Biographical

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He initiated two ~~new~~ entirely new fields, fine arts and adult education. Collections of art, libraries, painting,

sculpture, etc.

Funds and Foundations, 1952.

GENERAL  
(GENERAL EDUCATION BOARD)  
(INTERNATIONAL HEALTH BOARD)

Foundations

ROCKEFELLER FOUNDATION

✓ CARNEGIE CORPORATION

"Of Pritchett and Keppel one may say, as Matthew Arnold  
said of Goethe:

He struck his finger on the place: and said:  
Thou ailest here and here.

"The outstanding executives of the Foundations discussed  
in this volume of whom it can be affirmed that they consciously  
or unconsciously met these exacting requirements, are  
Pritchett as President of the Carnegie Foundation for the  
Advancement of Teaching, Gates and Buttrick of the General  
Education Board, Rose as Director of the International Health  
Board, and Vincent as President of the Rockefeller Foundation."  
(p. 124)

Funds and Foundations, 1952

1954

BUDGET (DIRECTOR'S FUND)

Finance

FORD

Foundations

✓ CARNEGIE

GUGGENHEIM

ROCKEFELLER

see pp. 24-25 in the Report of the Director  
published 1954 for 1948-53.

Report of the Director, 1954.

1954

5/7

PALEOGRAPHY (MEDIAEVEL)

Academic Activities

✓ CARNEGIE

Foundations

LOWE, E. A.

Biographical

OPPENHEIMER, R.

Oppenheimer to Moe, May 7, 1954, regarding Lowe, etc.

Filed in Chronological File under 1954, 5/7.

D File, Lowe, E. A.



1956

GENERAL

/CARNEGIE CORPORATION OF NEW YORK

Educational Institutions

Corporations

Annual Report.

Population has increased 4 times 1870-1950.  
College population has increased 50 times same period.  
Probably college population will double or triple next 20 years.  
37 million out of 167 million involved in education 1956.  
Scholarship programs total 55 million 1956.

Report of the Carnegie Corporation of New York

1/23

1956  
ARCHAEOLOGY

✓ ROCKEFELLER

CARNEGIE INSTITUTE

LOWE, E. A.

Academic Activities

Foundations

Biographical

Lowe's statement on sources of financial support for CIA.

Filed under Biographical, Lowe, E. A.

Letter from Lowe to Beatrice M. Stern, 1/23/56

1/83

ARCHAEOLOGY

Academic Activities

ROCKEFELLER

Foundations

~~CARNEGIE~~ INSTITUTE

LOWE, E. A.

Biographical

Lowe's statement on sources of financial support for CIA.

Filed under Biographical, Lowe, E. A.

Letter from Lowe to Beatrice H. Stern, 1/21/56

4/24

✓ CARNEGIE INSTITUTE

Foundations

ROCKEFELLER FOUNDATION

PRINCETON UNIVERSITY

Relations WOAI

PALEOGRAPHY

Academic Activities

LOWE, E. A.

Biographical

Interview with Professor E. A. Lowe, April 24, 1956.

Filed in Vertical File under Lowe Interviews.

Interview with Lowe, 4/24/56

10/12

DIRECTOR

Administration

GENERAL

Foundations

ROCKEFELLER

✓ CARNEGIE

STEWART, W.W.

Biographical

AYDELOTTE, F.

PLEISNER, A.

Interview with Walter Stewart, October 12, 1956.

Filed in Vertical File under Stewart Interviews.