

THE INSTITUTE FOR ADVANCED STUDY

MINUTES

Regular Meeting of the Board of Trustees  
January 14, 1978

- Present:** Messrs. Petersen, Dilworth, Doob, Drell, Forrestal, Hansmann, Segal, Straus; Dr. Woolf, Mr. Morgan, Mr. Hunt.
- Absent:** Messrs. Byrom, Houghton, Opel, Simon, Solow, Taplin; Mesdames Gray and Whitehead.
- Presiding Officer:** The Chairman, Mr. Petersen, opened the meeting at 10:40 a.m.
- Minutes:** On the motion of Mr. Segal, the minutes of the meeting of October 8, 1977, page 2, paragraph 5 were amended to provide that the Director resubmit Phase I of the Development Plan for further consideration at the January meeting.
- Report of the Finance Committee:** Since all those members of the Board present at the regular meeting of the Board were also in attendance at the meeting of the Finance Committee, it was agreed to waive any further oral report of the Finance Committee. The Finance Committee Minutes will be attached for all members. The amendment to Mr. Hansmann's letter regarding his fiduciary responsibility, as described in the minutes of the Finance Committee, was unanimously approved by the Board.
- Director's Report:**
- a) **Profile of the Institute:** The Director reported that the total membership in the academic year 1977-78 was 165 academic members, both permanent and visiting. This year's visiting members come from 18 countries and are affiliated with 81 universities; 19 of them are women and 88 are under the age of 35.
- Approximately \$3,900,000 accounts for the direct and indirect costs of these members of which \$2,400,000 comes from Institute General Funds. The largest portion of this is spent for visiting members in the School of Historical Studies followed by Mathematics, Natural Sciences and Social Science.
- b) **Vitality of the Institute and a Sample of Seminars:** The Director reported that he continued to be impressed with the importance of the Institute in the field of American education. One clear example of this was the great variety of seminars and colloquia and the high attendance not only by our own members, but colleagues from Princeton University and other sister institutions.

-2-

Some of the subjects treated in the regular weekly Social Science luncheon seminars have included: Alleged Economic Factors in Latin American Authoritarianism; The Notion of a Well-Ordered Society, Impressions of Contemporary Brazil; The Canadian Political Tradition; The Socialist Nation of the German Democratic Republic; Why Lawyers and Economists Do Not Think Alike.

- c) Director's Letter: The Director referred to his letter, which has already been distributed to Trustees, Faculty, Alumni, Presidents of major universities and colleges, Corporate Officers and other potential donors and friends. The total circulation to date has been 4,500, but an additional 1,000 will be mailed in the near future.
- d) Alumni Reply Cards: The Alumni Reply cards are of great interest. If they are returned in sufficient numbers, they will form the basis of an eventual Alumni Directory.
- e) Director's Fund: An invitation has been issued to Dr. Abba Eban to visit the Institute in the year 1978-79 for the academic year as a member supported by the Director's Fund. The invitation has the courtesy approval of the full Faculty.
- f) Farmhouse: Because of the doubling up of office space and the general crowding in the academic area, it has been decided to remodel the farmhouse at the foot of Olden Lane in order to provide nine additional offices. It will be possible to start work on this remodeling in this academic year because there are sufficient funds available in the capital expenditures budget, set aside originally for a major project connected with the roofs of the housing project. Preliminary plans have been submitted by Mr. William Short and an estimate has been received from a reliable builder for \$64,000. With architectural fees and furnishings the total will probably not exceed \$90,000.
- The transfer of capital funds for this project was unanimously approved by the Board.
- g) Brochure: A description of the history and activities of the Institute has been sent to all members of the Board and is also included in the agenda booklets for the day. Two members of the Board pointed out the errors in the descriptions of their current professional positions. The Director said that it was his hope that once additional corrections and suggestions have been received and incorporated, the present description might be published in the form of a brochure.
- h) Development Activities: The Director reported that the total of grants, gifts and contracts awarded in fiscal 1977 was \$1,556,475. \$197,000 of this came from private sources and \$1,360,000 from government sources.

Similar figures for fiscal year 1978 to date are as follows: total \$4,030,000; \$816,000 from private sources and \$3,213,000 from government sources.

-3-

- i) Mellon Grant: A major proposal was submitted to the Mellon Foundation for a joint effort between the Schools of Historical Studies and Social Science for a three-year period. A copy of the intellectual proposal will be sent to the full Board shortly after the meeting. The grant has been approved in the amount of \$370,000. This provides for the support of a certain number of people working on the project and overhead support of approximately 40% of actual academic support expenditure. At this point Professor Drell raised the question as to whether this was not the first application of its kind for joint or applied research as compared with the individual research that has been more common at the Institute.
- j) Other private gifts: The Director also discussed an effort with some very optimistic possibilities for the raising of other private funds.
- k) Government Grants: The Director reported that the renewal of the major grant from the National Science Foundation for the support of the visiting members in Mathematics had come through with a semi-commitment for five years (renewal applications must be made each year). Government support is also assured in the other three Schools from the National Science Foundation, the National Endowment for the Humanities and the Department of Energy (successor to ERDA).
- l) Challenge Grant to NEH: A Challenge Grant application in the amount of \$900,000 has been filed with the NEH. This provides for \$1.00 from them for every \$3.00 that the Institute raises from private sources. The intention of the grant is to support existing humanities activities at the Institute, particularly in the form of academic overhead, which is currently being provided from Institute funds.
- m) Other Gifts: The three commemorative funds now stand as follows: total donors, approximately 265; Oppenheimer - \$20,000; Morse Fund - \$10,000; Neugebauer Fund - \$5,000.
- n) Development Plan: The Director reported that a revised Development Plan had been forwarded to the Board prior to the meeting and was also included in their folders. This was in response to the amendment suggested by Mr. Segal and passed by the Board earlier in this meeting. The Director spoke specifically to page 9, No. 4A, "Trustees Endowment Fund". He emphasized the role of the Trustees in introducing the Director and Mr. Hunt to potential donors, both individual and corporate. He also described extensive conversations that he had had with Mr. Francis Plimpton regarding the development of a Bequests Program.

The revised Development Plan was approved by the Board.

-4-

- o) **Feasibility Study on Institute Lands:** The Director described conversations that he had had with respect to a feasibility study of the use of Institute lands. He emphasized the fact that this would be accomplished by Richard S. Weinstein Associates and that the feasibility study itself would be done without the use of Institute funds. There followed a general discussion among members of the Board with regard to the wisdom of this course of action. It was the sense of the meeting that a luncheon meeting should be held attended by Messrs. Forrestal, Segal, Woolf, Weinstein and Elliott. If an agreement were reached at this meeting, the Director would then be empowered to go ahead with the Feasibility Study.
- p) **Einstein Centennial Celebration:** A full description of the Einstein Centennial Celebration in March of 1979 has been distributed to the members of the Board and is also included in the booklets for the day's meeting. The following steps have already been taken with respect to the Symposium:
1. An application has been made for support from the National Science Foundation;
  2. A grant from Mr. Joseph H. Hazen of \$25,000 for seed money for planning has been received;
  3. All of the rooms at the Educational Testing Service Conference Center have been booked;
  4. The support of the major Learned Societies has been successfully sought;
  5. An approach has been made to the Smithsonian Institution to follow up the Symposium with an extended public program in Washington and elsewhere in the country. The officers of the Smithsonian have indicated interest and probable approval.
  6. An approach has been made to the President of the United States to participate in the Symposium. He cannot make commitments this far in advance, but he will almost certainly be represented if he is not here in person;
  7. Most of the participants in the Symposium have agreed to attend, at no stipend.
  8. A further commitment from Mr. Joseph H. Hazen in an amount up to \$75,000 has been made for a Memorial Sculpture of great distinction.
- q) **Einstein Films:** The Director went on to describe the plans for the three Einstein films. A complete description of the films and the legal protection of the Institute from any expense will be sent to the members of the Board immediately after the meeting.

-5-

Discussion of the  
Einstein Celebration:

The balance of the meeting of the Board, including luncheon, was devoted to the entire Einstein Celebration and a great deal of the discussion centered about the advisability of the film project. The Director reiterated that the Institute would be fully protected from I.A.S. costs. Mr. Segal emphasized the point that no member of the Board was qualified to supervise this production and that it was bound to have pitfalls regardless of whatever legal protection appeared to be existent in advance. There were strong expressions of approval that it was appropriate to retell the Einstein story, but there were serious questions as to the role of the Institute in this production.

The lengthy discussion was resolved to some degree by the following three motions:

Motion 1: That the Director's proposals for the Einstein Celebration with the exception of the three films be approved.

This motion was unanimously approved.

Motion 2: That the Institute withdraw from sponsoring the three films.

This motion failed.

Motion 3: That the Director be authorized to continue his exploration of the production of the three films and that final approval of his plans be referred to a sub-committee composed of Mr. Dilworth, Chairman, and Messrs. Drell, Forrestal and Hansmann.

This motion carried with Messrs. Doob and Segal requesting that their votes be recorded as negative.

Adjournment:

There being no further business before the Board, the meeting was adjourned at 3:00 p.m.

Respectfully submitted,

*Minot C. Morgan, Jr.*

Minot C. Morgan, Jr.  
Secretary