

THE INSTITUTE FOR ADVANCED STUDY

M I N U T E S

Meeting of the Development Committee

Friday, October 25, 1985

Princeton, New Jersey

- Present:** Messrs. Byrom (Chairman), Bradshaw, Dilworth, Taplin, Woolf. Also Mrs. Labalme and Mr. Rowe.
- Absent:** Messrs. Forrestal and Wolfensohn.
- Minutes:** Mr. Byrom opened the meeting at 3:00 p.m. The minutes were approved as circulated.
- Corporate Fellowships:** Dr. Woolf reported on the current corporate fellowships. There were two from RCA, two from Exxon Research and Engineering Corporation, one from Siemens, one from Robert Bosch (which was being divided between two visiting members), one from Schlumberger. The arrangement was that the grants (at \$30,000 each) were used in the Schools' budgets as replacement moneys for \$25,000 with \$5000 allowed to each School as an extra sum. The Administration has pledged to the Schools that when corporate funds cease, Institute moneys will be restored to their budgets.
- Mr. Byrom reported that he had had preliminary conversations with Paul Orrefice of Dow, Bill Stiritz of Purina, Cees Bruynes of North American Philips Corp., and Konrad Weis of Mobay Chemical Corporation. He has an appointment with Sir Geoffrey Allen of Unilever in a few weeks and will continue conversations with him on this subject.
- Mr. Bradshaw asked about the optimum size of the program. Dr. Woolf thought that 8-10 would be the maximum number of corporate fellowships the Institute should have at any one time.
- Dr. Woolf reported that Schlumberger's five-year program was going very well. Other programs, such as that of RCA, established for three years, could possibly be extended.

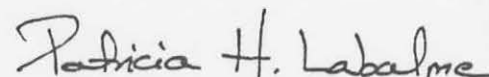
NEH Challenge Grant: Mrs. Labalme had prepared a memorandum on an NEH Challenge Grant (here attached). The Committee thought that preparations for undertaking such a Challenge Grant should move ahead, with a decision being made early in the new year, in consultation with preliminary findings of the Wolfensohn Committee, as to the Challenge Grant's purpose, size, and feasibility. At that time it could be determined whether or not to go forward.

Brochure: Mr. Byrom reported on the Institute brochure, to be used for fund-raising, which is being designed by Cooper Union. As soon as it is ready, he hopes to begin to set up some regional meetings, possibly in St. Louis, Pittsburgh, and Minneapolis.

Kennan Funding: Mr. Dilworth said he would talk to Mr. Forrestal about obtaining funding for George Kennan from the Rockefeller Brothers Fund.

There being no further business, the meeting was concluded at 3:50 p.m.

Respectfully submitted,



Patricia H. Labalme
Secretary to the Corporation

THE INSTITUTE FOR ADVANCED STUDY

PATRICIA H. LABALME
Associate Director

October 17, 1985

Memorandum

To: The Development Committee
From: Patricia H. Labalme
Re: NEH Challenge Grant

The following is background information for our discussion on October 25 at the Development Committee meeting. It is based on the most recent NEH Challenge Grant Guidelines.

If we should decide to apply for an NEH Challenge Grant, the deadline is May 1, 1986, with a draft being submitted by March 15. Should we receive a Challenge Grant, all gifts--which are either "new" or "increased"--made after December 1, 1985, could be counted towards that Challenge. The ratio would be three to one, and the grant from the NEH could be no more than \$1 million which is their effective ceiling. That would mean raising three million on our own. Of course, we could apply for much less.

The time span could be three years and the types of expenditures permitted include the following (I quote from the NEH guidelines):

Endowment of cash reserves, provided that funds are restricted to support programs, personnel, or activities within the humanities.

Renovation or repair of existing facilities....

Reduction or defrayal of continuing or cumulative debts....to the extent that such reductions bear upon expenses within the humanities.

Purchase of equipment, to the extent that such purchases support the humanities.

Acquisitions, collections, or other materials pertinent to the humanities.

Fund-raising costs for personnel, administration, and materials to the extent that such costs are attributable to the humanities....

Costs for cataloguing, restoring, or conserving humanities texts and materials.

What I see in this list of particular interest might be an endowed chair in the School of Social Science, endowment money for Visiting Members in the School of Social Science, word-processors, a retrospective computerized catalogue for our Historical Studies/Social Science Library. (I have confirmed all these as legitimate possibilities with Dr. James Blessing who is Director of the Challenge Grant office of the NEH).

The last Challenge Grant ran from 10/01/77 to 6/30/81. NEH gave us \$600,000 which we matched with \$1,800,000. It was distributed as follows:

	NEH Grant	Matching Contributions
General Operating Expenses	\$450,000	\$250,000
Director's Office--Public Affairs and Development Expenses	50,000	100,000
Library	100,000	200,000
Visiting Members	0	500,000
Endowed Chair in the School of Historical Studies	0	750,000
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Total	\$600,000	\$1,800,000

The large question is whether to apply. I think we would want to be quite sure about where the major sums of the match are coming from before we go out on a limb. The guidelines state that fulfillment of earlier pledges cannot be construed as "new funds", thereby ruling out pledges previously made.

Since the definition of what counts toward the match is crucial, let me quote again from the guidelines:

"All gifts meeting a challenge grant must be from new sources of giving or must be increases in contributions from existing donors. Whether contributions are new or increased depends upon sources and amounts of giving during the base year. The base year is the twelve-month period immediately preceding the start of the grant period."