

1933

1/9

✓GRANTS--IN-AID

Academic Personnel

Flexner to Veblen (Princ. Univ.)

"~~iii~~

"...

"...On reflection I believe it would be better for us to call such grants as we make 'grants in aid' so as to distinguish them from the Rockefeller or other fellowships. This is, however, a verbal matter which need give you no concern, in so far as your two letters go. The phrase, 'grants in aid', really describes the status of the two men better, since in both cases we are aiding them and their respective universities."

File IV-21

1933

1/23

VEBLER, G.

Biographical

MORSE, MARSTON

✓ GRANTS IN AID

Academic Personnel

HARVARD

Relations W.O.A.I.

Veblen to Morse (Harvard)

"I have talked with Dr. Flexner about Currier and find that in order to obtain consideration for him, it will be necessary to have definite assurance that there will be a place at Harvard for him to return to. This is being done in all analogous cases and there seems to be no reason for making an exception of Currier.

"It looks now as though we should have a very good group of younger men next year. In the course of the negotiations that have taken place, we have arrived at much more definite ideas as to how to handle matters. I hope very much that we can establish good working relations with Harvard as we seem to be doing with everyone else."

File IV-21

1933

1/23

⁶
~~ASSOCIATIONS~~

Academic Personnel

✓ GRANTS-IN-AID

HARVARD

Relations W.O.A.I.

PRINCETON

(See PARTICIPATION IN ADMINISTRATION, Academic Personnel
Letter from Flexner to Veblen)

File IV-21

1933

2/4
2/6

ASSISTANTS

Academic Personnel

GRANTS IN AID

Veblen to Flexner (NYC):

"...

"...I wish now to raise the question whether the personal assistants to professors are included in the \$25,000 which is to be used for these appointments. If so, the fund is practically exhausted when we make allowance for Wilder and the three assistants."

Flexner to Veblen (Princ. Univ.):

"...

"I should not think the personal assistants to the professors ought to be included in the \$15,000 to be used for the grants in aid. This fund should be regarded as grants in aid to persons not officially connected with the Institute but rather as having permanent connections elsewhere. The Executive Committee appropriated \$1,500 for Dr. Torrance..."

File IV-21

POLICIES

VEBLEN, O.

FELLOWS

GRANT IN AID

Administration

Biographical

Academic Personnel

Veblen to Flexner (NYC):

"...

"The following scheme has occurred to me as being perhaps a desirable one for bringing to the Institute a continuous supply of junior members. Several of the colleges in Cambridge (England) have research fellowships which pay their incumbents 300 pounds a year together with residence quarters and dinners. These appointments last for something like three years, one of which may be spent away from Cambridge at the will of the incumbent. It appears that a grant of approximately \$1000 would be enough to enable the holder of one of these fellowships to spend a year in the Institute. I gather from conversations with two of these men who are now in the United States (one at Princeton and the other at M.I.T. working with Norbert Weiner) that there would be a good deal of interest in such a plan. Possibly we might use a part of the \$5000 which I understand is to be available this spring in this way.

..."

File IV-21

GENERAL

Publications

✓ GRANTS

Academic Personnel

GENERAL

Foundations

POLICY

Administration

Veblen to Flexner (NYC): undated

"When I was in Chicago, Dr. Albert remarked that he should be glad to have a note of his appointment for a year in the Institute appear in the Bulletin of the American Mathematical Society where such things are usually recorded. I think it would be a good idea to send a list of these grants-in-aid to Professor E. R. Hedrick, University of California at Los Angeles, California, who is Editor of the Bulletin. There ought, however, to be a distinction between cases like that of Albert and that of Bleick. Perhaps the simplest thing would be to leave the latter our entirely. In this sort of announcement I would not send the announcement in regular form to Hedrick but merely a note stating what the appointments are and then let Hedrick put the thing in his own way."

Veblen to Flexner 6/6:

"Here is a letter from Compton about Prof. Szász which I answered by suggesting

that they try to get the Rockefeller Foundation to pay half.* I am not sure, however, but that it would be wise to enter into one or two such arrangements where they are clearly temporary. For example, in this case, to have Szász come to Princeton for part of the year. If the position is so clearly a travelling one it will make the obligation less to each institution concerned. It might be better for any two institutions to take two halves of a man each rather than one man each."

*# and adding that I thought it would be against your policy to share in such an arrangement."

1934

1/29

PROFESSORS (VISITING)

Academic Personnel

✓GRANTS-IN-AID

WEYL, HERMANN

Biographical

DIRAC, P.A.M.

Minutes, p. 11:

"RESOLVED, That a sum not to exceed Four thousand five hundred Dollars (\$4,500.00) be and hereby is appropriated in order to reimburse Professor Hermann Weyl for such expenses as he incurred in moving from Germany to the United States."

"RESOLVED, That Professor P.A.M. Dirac of the University of Cambridge, be invited to the Institute for Advanced Study as visiting professor for the year 1934-1935 at a salary of Ten thousand Dollars (\$10,000.00)."

"RESOLVED, That a fund up to the sum of Twenty thousand Dollars (\$20,000.00) be and hereby is available for grants-in-aid, this amount to be included in the budget for 1934-1935."

XXXXXXXXXXXXXXXXXXXXXXXX

"RESOLVED, That a fund up to One thousand Dollars (\$1,000.00) be and hereby is available for paying the expenses and honoraria of visiting professors or lecturers to the Institute, this amount to be included in the 1934-1935 budget."

"RESOLVED, That the sum of Ten thousand Dollars (\$10,000.00) be included, if necessary, in the budget for 1934-1935 so as to enable the Institute to develop in the direction of theoretical or mathematical physics."

1934

1/29

✓ STAFF Grants-in-aid

Academic Personnel

FELLOWSHIPS

ROCKEFELLER FOUNDATION

Foundations

NATIONAL RESEARCH COUNCIL

PRINCETON UNIVERSITY

Relations WCAI

GRANTS-IN-AID

Finance

Minutes, pp. 2-3:

Director's report on racial origins and religion of staff and "workers" (Fellows).

".... The body of workers, now totalling 21 in number, is similarly constituted. There is a Russian, who has not, however, as yet arrived in Princeton but is expected shortly, an Austrian, a German, an Englishman, who holds a post in Scotland, a Dutchman, and the rest are Americans of diverse origin. They come from every section of the country and represent a great variety of racial and religious origins....."

Minutes, p. 4:

Social relations at Fine Hall, i.e., afternoon tea, seminars. Foundations supporting some of the students.

Minutes, p. 5:

Grants-in-aid for visiting professors requested.

1935

10/14

GRANTS-IN-AID

Finance

GRANTS

Academic Personnel

The Director recommends that the appropriation for grants-in-aid be made a year in advance so that the professors may have an opportunity to make arrangements with the universities for leave of absence of candidates for grants. This was approved and accordingly an appropriation of \$30,000 was provided to be included in the budget for the year 1936-37 to be distributed as follows: \$20,000 for mathematics and \$10,000 for mathematical-physics. (Minutes 10/14/35, pp. 7-8).

1937

1/25

STIPENDS

Academic Personnel

/GRANTS-IN-AID

MEMBERS

MacDUFFEE

Biographical

MacDuffee, Professor, University of Wisconsin has been invited to come to the Institute. His president has given him permission, though he doesn't know where the money is coming from, but he will get it somewhere.

"This is precisely the effect which we hoped these grants would have, that is, that colleges and universities would be stimulated to raise new money in order to give opportunities to their faculties..."

See the quote in page 5 from a member of the School of Mathematics on what the staff at the Institute gets from the short-term members--a great deal of stimulus and help from their associations with the younger group. Flexner said it

would be hard to exaggerate the importance of the stream flowing through the membership to the Institute itself. "If this stream should dry up, there would be danger that the 'academic heaven' would approach the state of Nirvana."

See V's statement 12/4/36 IV-20

Trustees' Minutes, 1/25/37, pp. 4-5

1937

10/11

✓ GRANTS-IN-AID

Academic Personnel

\$30,000 appropriated for the fields of mathematics and
theoretical physics with \$1,000 for honoraria for lecturers.

Trustees' Minutes, 10/11/37, p. 13

1939

3/14

3/23

PRINCETON UNIVERSITY

Relations WOA

FELLOWSHIPS

Academic Personnel

✓ GRANTS-IN-AID

~~A letter from~~ Morey and Allen G. Johnson (Dept of Classics, Princeton U.) to Flexner, March 14, 1939, approved by Lehmann-Hartleben of New York University, Institute of Fine Arts.

The University has refused fellowships to three men: Block, Buchthal, and Clough, all emigres on the ground that they should spend their money for fellowships for bright, young undergraduates, and believing that the Institute should give any fellowship or grant which would come to the three men.

Flexner to Morey, March 23, 1939. A fairly non-committal letter in which he said that he agrees with the policy stated,

but says nothing whatever about the Institute giving any grants.

D. Morey, C. R.

1940-1955

CARNEGIE

Foundations

✓ GRANTS-IN-AID

For a list of grants for specific individuals and projects given by the Carnegie Corporation for the above years see Vertical File under "C" for Carnegie Corporation.

1940-1955

ROCKEFELLER

Foundations

~~CARRSSEE~~

✓ GRANTS-IN-AID

Academic Personnel

For a list of grants to specific individuals and projects given by the Rockefeller Foundation see Vertical File under "R" for Rockefeller.

1943

3/10

BUDGET

Finance

ROCKEFELLER

Foundations

STIPENDS

Academic Personnel

BAMBERGER, LOUIS

Biographical

AYDELOTTE, F.

LEIDESDORF, S. D.

A long letter from Aydelotte to Leidesdorf on how best to present the financial situation of the Institute to Mr. Bamberger now and as it will be two years from now.

Too long for detail, but in it he suggests that there might be several ways of reducing the expenditures of the Institute:

(1) By eliminating the assistants to the professors. This would be too bad since they are necessary to the work

of the professors.

(2) Failure to appoint professors to take the places of those retiring. Not a bad solution for the present because they continue to do their work anyway, but bad for the future.

(3) There is a surplus in the Rockefeller-Bamberger economics fund, but it is not wise to depend upon this surplus for the Institute. The best thing to do is to reduce expenditures at the Institute to the level of the endowment income.

(4) Might reduce or abolish stipends \$50,000. This, he says, would probably not be acceptable to Mr. Bamberger, for stipends are necessary to assist young men to come to the Institute to study, and as such are the one item of our budget in which Mr. Bamberger is most interested. To abolish them would be to sacrifice a great part of the utility of the Institute to the scholarly world.

(5) The other would be to reduce salaries which would certainly adversely affect the prestige of the Institute.

He thinks it is imperative that Mr. Bamberger understand these things, and he hopes that Leidesdorf will present it to Mr. Bamberger. "We have ~~laif-~~ here laid the foundation of a great research institution. It is, however, only a foundation and if it is to fulfil its promises we ought to have funds in hand for some of the many possible and needed developments. I have talked with Mr. Bamberger about the possibilities in various fields but not extensively, and I have not laid these possibilities before the Trustees because it seems idle to talk about them until our financial ~~xxx~~ situation is so stabilized as to give us the prospect of continuing to be able to meet our present commitments."

D, Leidesdorf, Samuel D., 1930-1935

1945

12/14

GRANTS

Academic Personnel

BENEFITS

HERSFELD, E. E.

Biographical

LOWE, E. A.

MEMBERS

Publications

Executive Committee decided:

Hersfeld will retire 8/1/44 on \$4,000 pension. A grant of \$7500 for research for 3 years will be made available at not more than \$2500 p.a. for completion of his work on Persian archeology, and \$6,000 for publication.

Lowe will retire on 7/1/45 with annuity of \$4,000 to complete Codrus Latini Antignoa. A research fund of \$13,000 will be set up to be used at not to exceed \$4500 per year per arrangements between him, Director and Treasurer with possibility of further help after this is gone.

Both will continue to occupy Fuld Hall quarters.

Minutes Exec. Coma. 12/14/44, p. 1, Vol. No.

1948

1/28

DIRECTOR

✓ GRANTS-IN-AID

BARON, HANS

ELIOT, T. S.

Administration

Academic Personnel

Biographical

See letter for terms. Research grant \$1450 for 6 months to Baron. \$1,000 from Director's Fund for Eliot without requirement for residence.

Meritt, Faculty Business, Vertical

1948

4/15

SCHOOL OF MATHEMATICS

Academic Organization

MEMBERS

Academic Personnel

GRANTS-IN-AID

GIFTS

Finance

The Director reported an approximate 60 per cent increase in memberships in the 1948-49 term limited to the School of Mathematics, and appended to the minutes is a list of the sources of outside funds and the purposes for which granted. Changes in the traditional pattern of members coming to work at the Institute were mentioned. Members in physics, for instance, come for a year or so of research and advanced study before going into teaching. Another variation is Professor Earle's program of conducting yearly seminar in which he decides on a subject, travels abroad and in this country to consult and invite participants. The insight developing from these seminars is often of a sort not otherwise obtainable. He pointed to the just completed conferences centering around Professor Teynbee, in which in general terms it was discussed how to talk about a culture, as an example of meetings performing a real service.

Trustees' Minutes, April 15, 1948

1948

12/21

SCHOOL OF ECONOMICS AND POLITICS

Academic Organization

✓ GRANTS-IN-AID

Academic Personnel

EARLE, E. M.

Biographical

BARNARD, CHESTER I.

Letter from Ex Barnard to Earle on above headings filed
in Chronological File under 1948, 12/21.

Earle papers, C. I. Barnard

1949

9/26

✓ GRANTS-IN-AID

Academic Personnel

~~ATOMIC ENERGY COMMISSION~~

~~Academic Activities~~

OPPENHEIMER, ROBERT

Biographical

Oppenheimer to Mr. H. Marshall Chadwell, U. S. Atomic Energy Commission, P. O. Box 30, Ansonia Station, New York 23, New York, September 26, 1949.

"Thank you for your thoughtful letter of September 22nd. In that letter you inform us of the provisions of the Independent Offices Appropriation Act of 1950 and determine, in my opinion correctly, that the provisions relating to fellowships should apply to the grants made by the Institute for Advanced Study under contract No. At-30-1-GEN-349.

"I have discussed the problems raised by your letter with our Faculty. It is our unanimous opinion that the provisions of the Appropriation Act make it impossible for us to make any further grants. In view of the non-secret nature of our

[unwilling]

work and of the traditions of the Institute for Advanced Study, we should be willing to make any appointments to membership in the Institute conditional upon an investigation by the Federal Bureau of Investigation. We shall therefore make no further grants-in-aid the funds for which would be derived from the subject contract.

"We have already made four appointments to membership with grants totalling \$15,000. These were made last spring. It is our understanding that in the case of these grants the provisions of the new Appropriation Act are not applicable. Should we be wrong in this interpretation, we should not wish to pay these members from monies derived from the Atomic Energy Commission. We should appreciate your advice on this matter. We should also ~~be~~ be glad to have any interpretative material and any legislative history which would enable us to determine the probable interpretation of the criminal penalties provided in the second part of the Appropriation rider.

I need hardly add that unless a new basis for the support of basic, unclassified work in the sciences can be developed,

the Institute will be unwilling to renew the contract.

"With every good wish,

"Yours sincerely,

"Robert Oppenheimer"

Faculty Minutes, October 3, 1949

1949

11/1

TAXATION (FEDERAL INCOME)

Government Relations

STIPENDS

Academic Personnel

GRANTS-IN-AID

For a memo regarding taxation on fellowship grants, see
Chronological file of this date.

D, Income Tax, Taxability of Grants., 1950

1950

2/21

✓ GRANTS-IN-AID

Academic Personnel

CLAY, SIR HENRY

Biographical

NEUGEBAUER, PROFESSOR OTTO E.

YANG, CHEN NING

PLACZEK, GEORGE

Sir Henry Clay: with a Rockefeller 5-year grant totalling \$25,000 to be administered by the Institute to support Clay's proposed writing on the relations of industry to the State.

Otto E. Neugebauer: five year membership with a grant-in-aid of \$5,000 for one semester a year at the Institute.

Dr. Chen Ning Yang: five-year appointment with a grant-in-aid of \$5500.

Dr. George Placzek: five-year appointment in physics with annual grant-in-aid of \$9,000.

The grants-in-aid are to be separate from the stipend budgets. There was discussion as to whether the letters of appointment should mention specifically that no pension rights were involved. The consensus was that the Trustees should always have their intentions reflected clearly in the letters, and here they had no intention to give pension rights.

Executive Committee Meeting, February 21, 1950

1950

9/27
9/28

TAXATION (FEDERAL INCOME)

Government Relations

STIPENDS

Academic Personnel

✓ GRANTS-IN-AID

See Levi's memorandum September 27, 1950, on appeal filed by the Institute on the taxability for income of fellowships and stipends and Oppenheimer's letter September 28, 1950.

D, Income Tax, Taxability of Grants, 1950

1951

January - September

GENERAL

Academic Personnel

TAXATION

Government Relations

✓ GRANTS-IN-AID

Academic Personnel

STIPENDS

Correspondence between the U. S. Treasury Department and
Oppenheimer regarding the taxability of grants.

Filed in Vertical File under "T" for Taxation.

D File 4

1952

2/21

GENERAL (INCOME TAX)

Government Relations

STIPENDS

Academic Personnel

✓ GRANTS-IN-AID

See pp. 1-3 in Minutes.

Trustees' Minutes, 2/21/52